



Date of Birth	10 July 1974	Medical Facility	Max Healthcare	Specimen Received	03 June 2016
Sex	Male	Ordering Physician	Verma, Amit	Specimen Site	Soft Tissue
FMI Case #	TRF158361	Additional Recipient	Not Given	Date of Collection	31 May 2016
Medical Record #	Not Given	Medical Facility ID #	201107	Specimen Type	Block
Specimen ID	15136/15 A2 (16CO2667)	Pathologist	Not Provided		

ABOUT THE TEST:

FoundationOne™ is a next-generation sequencing (NGS) based assay that identifies genomic alterations within hundreds of cancer-related genes.

PATIENT RESULTS

27 genomic alterations

5 therapies associated with potential clinical benefit

0 therapies associated with lack of response

26 clinical trials

TUMOR TYPE: COLON ADENOCARCINOMA (CRC)**Genomic Alterations Identified[†]**

BRCA1 K654fs*47
BRCA2 D946fs*14
HRAS G12S
MSH2 G71*
ATM N2586fs*20
CTNNB1 T41I
FBXW7 R479Q
RNF43 G659fs*41, R225fs*194
ARID1B T1639M
ASXL1 G645fs*58
CDC73 G416fs*12
CDH1 L355fs*1
CHD2 V175fs*1
CHD4 K945fs*28
FANCL K300fs*43
FAT1 A4305V
JAK1 K860fs*16
KDM6A W1194*
MLL2 V3089fs*30
NOTCH1 P2512fs*45+
NOTCH2 N1999fs*32
RAD50 K722fs*14
RB1 N123fs*8
RUNX1 R201fs*10
SETD2 S2382fs*29
SMARCA4 Q194fs*109

Additional Disease-relevant Genes with No Reportable Alterations Identified[†]

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BRAF
KRAS
NRAS

† For a complete list of the genes assayed and performance specifications, please refer to the Appendix

THERAPEUTIC IMPLICATIONS

Genomic Alterations Detected	FDA-Approved Therapies (in patient's tumor type)	FDA-Approved Therapies (in another tumor type)	Potential Clinical Trials
BRCA1 K654fs*47	None	Olaparib	Yes, see clinical trials section
BRCA2 D946fs*14	None	Olaparib	Yes, see clinical trials section
HRAS G12S	None	Cobimetinib Trametinib	Yes, see clinical trials section
MSH2 G71*	None	Nivolumab Pembrolizumab	Yes, see clinical trials section
ATM N2586fs*20	None	None	Yes, see clinical trials section
CTNNB1 T41I	None	None	Yes, see clinical trials section
FBXW7 R479Q	None	None	Yes, see clinical trials section
RNF43 G659fs*41, R225fs*194	None	None	Yes, see clinical trials section
ARID1B T1639M	None	None	None
ASXL1 G645fs*58	None	None	None
CDC73 G416fs*12	None	None	None
CDH1 L355fs*1	None	None	None
CHD2 V175fs*1	None	None	None
CHD4 K945fs*28	None	None	None

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Genomic Alterations Detected	FDA-Approved Therapies (in patient's tumor type)	FDA-Approved Therapies (in another tumor type)	Potential Clinical Trials
FANCL K300fs*43	None	None	None
FAT1 A4305V	None	None	None
JAK1 K860fs*16	None	None	None
KDM6A W1194*	None	None	None
MLL2 V3089fs*30	None	None	None
NOTCH1 P2512fs*45+	None	None	None
NOTCH2 N1999fs*32	None	None	None
RAD50 K722fs*14	None	None	None
RB1 N123fs*8	None	None	None
RUNX1 R201fs*10	None	None	None
SETD2 S2382fs*29	None	None	None
SMARCA4 Q194fs*109	None	None	None

Note: Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have little or no evidence in the patient's tumor type. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.

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GENOMIC ALTERATIONS

GENE ALTERATION	INTERPRETATION
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● **BRCA1**
K654fs*47

Gene and Alteration: The protein encoded by BRCA1 is involved in the maintenance of genomic stability, including DNA repair, cell cycle checkpoint, and chromosome segregation¹. BRCA1 alterations that disrupt the ring-type zinc finger domain (amino acids 24-65) or BRCT domains (aa 1642-1855), such as observed here, are predicted to result in a loss of function^{2,3,4}. Germline mutations in BRCA1 or BRCA2 are associated with breast-ovarian cancer familial susceptibility (BROVCA), also known as hereditary breast-ovarian cancer (HBOC)^{5,6}. The lifetime risk of breast and ovarian cancer in BRCA1/2 mutation carriers has been estimated to be as high as 87% and 44%, respectively⁷, and elevated risk of other cancer types, including gastric, pancreatic, prostate, and colorectal, has also been identified, at a frequency range of 20-60%⁸. The estimated prevalence of deleterious germline BRCA1/2 mutations in the general population is between 1:400 and 1:800, with an approximately 10-fold higher prevalence in the Ashkenazi Jewish population^{7,9,10,11,12,13,14}. In the appropriate clinical context, germline testing of BRCA1 is recommended.

Frequency and Prognosis: In the Colorectal Adenocarcinoma TCGA dataset, somatic mutations in the BRCA1 gene have been reported in 2.7% of tumors¹⁵. One study reported that 87% of colorectal cancer samples analyzed were positive for BRCA1 protein expression, and that BRCA1 expression was associated with significantly longer overall patient survival¹⁶. Another study reported that although BRCA1 mutations were not more frequent among unselected patients with colorectal cancer compared to controls, mutations were reported at a higher frequency in patients with either early onset colorectal cancer or familial history of colorectal cancer as compared to controls, suggesting that BRCA1 mutation may increase the risk of early onset or familiar colorectal cancer¹⁷; however, additional studies are required. Carriers of BRCA1 mutations in an Ashkenazi Jewish population have a 4-fold increase in colon cancer risk¹⁸.

Potential Treatment Strategies: Tumors with BRCA1 mutations or reduced BRCA1 protein levels have been reported to be sensitive to DNA-damaging drugs, such as cisplatin and carboplatin, and to PARP inhibitors^{19,20,21}. The PARP inhibitor olaparib is FDA approved to treat patients with BRCA1/2-mutant ovarian cancer, and olaparib and other PARP inhibitors are in clinical trials in patients with solid tumors. BRCA1 deficiency has been implicated in resistance to docetaxel or paclitaxel; however, data that are inconsistent with this have also been reported²².

● **BRCA2**
D946fs*14

Gene and Alteration: The BRCA2 tumor suppressor gene encodes a protein that regulates the response to DNA damage²³. Inactivating mutations in BRCA2 can lead to the inability to repair DNA damage and loss of cell cycle checkpoints, which can lead to tumorigenesis²⁴. BRCA2 alterations that disrupt PALB2 binding (aa 21-39)²⁵, the BRC repeats (aa 1002-2085), the DNA binding domain (aa 2479-3192), and/or the C-terminal RAD51 binding domain, such as observed here, are predicted to be inactivating^{23,26,27,28,28,29,30,30,31,32,33,34,35,36,37,38}. Germline mutations in BRCA1 or BRCA2 are associated with breast-ovarian cancer familial susceptibility (BROVCA), also known as hereditary breast-ovarian cancer (HBOC)^{5,6}. The lifetime risk of breast and ovarian cancer in BRCA1/2 mutation carriers has been estimated to be as high as 87% and 44%, respectively⁷, and elevated risk of other cancers, including gastric, pancreatic, prostate, and colorectal tumors, has been identified at frequencies of 20-60%^{8,11,39,40,41,42,43,44}. The estimated prevalence of deleterious germline BRCA1/2 mutations in the general population is between 1:400 and 1:800, with an approximately 10-fold higher prevalence in the Ashkenazi Jewish population^{7,9,10,11,12,13,14}. In the appropriate clinical context, germline testing of BRCA2 is recommended.

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Frequency and Prognosis: BRCA2 mutation has been reported in 4.5% of colorectal adenocarcinoma samples analyzed in the TCGA dataset¹⁵ and in 6.3% of large intestine adenocarcinoma cases in the COSMIC database (COSMIC, Apr 2016).

Potential Treatment Strategies: BRCA2 alterations may predict sensitivity to DNA-damaging drugs, such as cisplatin or carboplatin, and PARP inhibitors⁴⁵. The PARP inhibitor olaparib is FDA approved to treat patients with BRCA1/2-mutant ovarian cancer, and olaparib and other PARP inhibitors are in clinical trials in patients with solid tumors. In patients with ovarian or breast cancer harboring BRCA1/2 mutation, olaparib has shown promising activity either as monotherapy or in combination with chemotherapy or angiogenesis inhibitors, and achieved clinical benefit in up to 61% of cases^{46,47,48,49,50,51,52,53,54,55}.

● **HRAS**
G12S

Gene and Alteration: HRAS encodes a member of the RAS family of membrane proteins that bind GDP/GTP and possess GTPase activity. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation⁵⁶. HRAS alterations affecting amino acids G12, G13, Q61 and K117, as well as mutations A59T and A146V have been characterized to be activating and oncogenic^{56,57,58,59,60,61,62,63,64,65}.

Frequency and Prognosis: HRAS mutations have been reported in less than 1% of colorectal adenocarcinoma samples in the COSMIC database (May 2016) and have not been reported in the TCGA colorectal adenocarcinoma dataset¹⁵. A study found somatic HRAS mutations in 4% (2/54) of adenomas and in none of the analyzed (0/6) adenocarcinomas⁶⁶. HRAS protein expression was observed in 65% (61/94) of invasive colorectal adenocarcinomas⁶⁷.

Potential Treatment Strategies: In preclinical studies, constitutive activation of HRAS has been shown to lead to activation of the RAF-MEK-ERK and PI3K-AKT pathways^{68,69}. Therefore, tumors with HRAS amplification or activating mutations may be sensitive to inhibitors of these pathways, which are being evaluated in clinical trials for solid tumors. The MEK inhibitors cobimetinib and trametinib are approved for the treatment of melanoma with BRAF V600E or V600K mutations, and are being studied in clinical trials in solid tumors^{70,71}. The reovirus Reolysin targets cells with activated RAS signaling^{72,73,74} and is in clinical trials in some tumor types. Reolysin has demonstrated mixed clinical efficacy, with the highest rate of response reported for head and neck cancer^{75,76,77,78,79,80,81,82,83}. Activating mutations in other RAS family members have been associated with resistance to the anti-EGFR antibodies panitumumab and cetuximab in colorectal cancer (Stintzing et al., 2013; ESMO European Cancer Congress Abstract E17-7073)^{84,85,86,87}. Current guidelines from the National Comprehensive Cancer Network (NCCN v3.2014) recommend against the use of cetuximab and panitumumab in patients with known KRAS or NRAS mutations. HRAS activating mutations have been similarly reported to confer resistance to anti-EGFR antibodies in preclinical studies⁸⁸.

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● **MSH2**
G71*

Gene and Alteration: MSH2, which encodes the DNA mismatch repair protein MSH2, is a member of the mismatch repair (MMR) gene family. Defective MMR occurring as a result of mutation(s) in the MMR family (MLH1, MSH2, MSH6, or PMS2) can result in microsatellite instability (MSI), common in colon, endometrium, and stomach cancers⁸⁹. MSH2 alterations that may result in loss or disruption of the EXO1 interaction domain (amino acids 601-671), the MutS core domain (aa 303-645), or the nucleotide triphosphate hydrolase domain (aa 619-855), such as observed here, are predicted to be inactivating. Germline mutations of MMR proteins such as MLH1, MSH2, MSH6, or PMS2 are associated with a condition known as Lynch syndrome, which may lead to nonpolyposis colon cancer, gastric cancer, small bowel, or endometrial cancer⁹⁰. In one large study of Lynch syndrome, endometrial cancer was the most common cancer reported outside the colon, with an incidence of 13.8%⁹¹. For family members of patients with newly diagnosed endometrial cancer, the clinical utility of testing the patient for germline mutations in MMR genes is higher for mutations in MLH1 or MSH2 than it is for MSH6 or PMS2 mutations⁹². Therefore, in the appropriate clinical context, germline testing of MSH2 is recommended.

Frequency and Prognosis: MSH2 mutations have been reported in 2-10% of colorectal cancer samples analyzed^{93,94}. Loss of MSH2 protein has been reported to be rare in colorectal cancer^{95,96}. Loss of heterozygosity of chromosome 2p, where MSH2 and MSH6 lie, has been observed in 46% of replication error positive colorectal tumors⁹⁷. MSH2 mutations have been associated with an enhanced risk of developing colorectal cancer⁹⁸.

Potential Treatment Strategies: MSH2 inactivation leads to MMR defects, MSI, and high mutational burden^{99,100,101,102,103}, which may predict response to the FDA-approved anti-PD-1 immunotherapies pembrolizumab and nivolumab^{104,105,106}. In a Phase 2 study of MSI-high cancers, six patients with MSH2 (germline) mutations reported one partial response and two stable diseases¹⁰⁴. Pembrolizumab therapy resulted in a significantly higher objective response rate in MSI-high CRC compared with microsatellite stable CRC (40% vs. 0%)¹⁰⁴ and its efficacy correlated with high mutational burden in non-small cell lung cancer (NSCLC)¹⁰⁵. Treatment with nivolumab resulted in a complete response in a patient with MSI-high CRC¹⁰⁶. Furthermore, MSI status correlates with higher PD-1 and PD-L1 expression¹⁰⁷, potential biomarkers of response to PD-1 targeted immunotherapies. These therapies are in clinical trials for various tumor types and may be appropriate particularly in hypermutant tumors. Preclinical studies have shown that tumor cells deficient in MSH2 are markedly sensitive to methotrexate in vitro¹⁰⁸. Low levels of MSH2 have been observed by immunohistochemistry (IHC) in NSCLC and may predict benefit to cisplatin-based adjuvant chemotherapy^{109,110}.

● **ATM**
N2586fs*20

Gene and Alteration: ATM encodes the protein ataxia telangiectasia mutated, a serine/threonine protein kinase that belongs to the PI3K-like protein kinase (PIKK) family and plays a key role in the DNA damage response¹¹¹. ATM is recruited to sites of DNA double-strand breaks and acts as a signal transducer that coordinates DNA repair, cell cycle checkpoints, and apoptosis in response to DNA damage¹¹¹. Loss of functional ATM promotes tumorigenesis¹¹² and mutations in ATM underlie the rare autosomal recessive inherited disorder ataxia-telangiectasia that is characterized by genomic instability, sensitivity to DNA-damaging agents and increased risk of developing cancer¹¹¹. ATM mutations that disrupt or remove the protein kinase domain (amino acids 2712-2962) or the FATC domain (amino acids 3024-3056), such as observed here, are predicted to result in loss of function¹¹³.

Frequency and Prognosis: ATM mutations have been reported in 11% of cases in the Colorectal Adenocarcinoma TCGA dataset¹⁵. Loss of heterozygosity (LOH) of ATM has been observed in 23-31% of distal colon cancers, but not in proximal colon tumors¹¹⁴. Expression of ATM in patients with colorectal cancer (CRC) has been associated with longer survival¹¹⁶.

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Potential Treatment Strategies: Loss of functional ATM results in a defective DNA damage response and homologous recombination-mediated DNA repair, and may predict sensitivity to PARP inhibitors¹¹⁵ such as olaparib. Several preclinical studies have shown that loss of functional ATM confers moderate sensitivity to PARP inhibitors^{116,117,118,119,120}, with some studies reporting increased sensitivity specifically in cells with loss of both ATM and TP53^{121,122}; one preclinical study reported that ATM depletion did not increase sensitivity to PARP inhibitors¹²³. In a Phase 2 trial, 4/5 patients with ATM-mutated castration-resistant prostate cancer benefited from olaparib treatment¹²⁴. In a Phase 2 study of patients with gastric cancer, the combination of olaparib with paclitaxel resulted in improved overall survival versus paclitaxel alone, both in the overall patient population and the patient population with low ATM protein expression¹²⁵. Preclinical experiments also indicate that loss of ATM causes dependency on DNA-PKcs in cancer cells; DNA-PKcs inhibitors promoted apoptosis in ATM-deficient cells, and were active in a lymphoma mouse model lacking ATM activity, suggesting a potential therapeutic strategy for tumors with inactivating ATM mutations¹²⁶.

● CTNNB1
T41I

Gene and Alteration: CTNNB1 encodes beta-catenin, a key downstream component of the WNT signaling pathway. Beta-catenin interacts with cadherin to regulate cell-cell adhesion; as a component of the WNT pathway, it also plays a role in development, cell proliferation, and cell differentiation¹²⁷. CTNNB1 exon 3 mutations, such as observed here, are considered to be activating in that they lead to increased beta-catenin protein stability and activation of the WNT pathway^{128,129,130,131,132,133,134,135,136,137,138,139,140,141,142,143,144,145}.

Frequency and Prognosis: CTNNB1 mutations have been reported in 5-7% of colorectal adenocarcinomas^{15,146,147}. Overexpression of beta-catenin has been observed in up to 80% of colorectal tumors, resulting in activation of the WNT/beta-catenin pathway^{148,149,150}. Findings concerning the association between beta-catenin expression and prognosis in patients with colorectal cancer have been conflicting, with some studies correlating expression with better overall survival and other studies associating beta-catenin expression with poor overall survival^{148,151,152,153}.

Potential Treatment Strategies: Mutation or activation of CTNNB1 signaling has been shown to increase mTOR signaling, promote tumorigenesis, and respond to mTOR inhibition in preclinical studies^{154,155,156}. The mTOR inhibitors everolimus and temsirolimus are FDA approved in various indications and have shown clinical activity in patients with endometrial carcinoma and CTNNB1 mutations (Myers et al., 2015; ASCO Annual Meeting Abstract 5592)¹⁵⁷. A patient with recurrent hepatocellular carcinoma and a CTNNB1 mutation, who had progressed on sorafenib monotherapy, experienced tumor regression and clinical benefit upon combination treatment with everolimus and sorafenib¹⁵⁸. Multiple preclinical studies in cancer models harboring CTNNB1 mutation or beta-catenin pathway activation have reported activation of the NOTCH pathway and sensitivity to pharmacologic inhibition of NOTCH signaling by gamma-secretase inhibitors^{159,160,161,162}. Phase 1 and 2 clinical trials of gamma-secretase inhibitor PF-03084014 have shown high response rates in patients with desmoid tumors, which are driven by activating CTNNB1 mutations in the majority of cases (Kummar et al., 2015; ASCO Abstract 10563)¹⁶³. Therefore, CTNNB1-mutant tumors may be sensitive to gamma-secretase inhibitors. Although WNT pathway inhibitors have been explored preclinically in CTNNB1-mutant cells, clinical data supporting this therapeutic approach is lacking^{155,164,165,166}.

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● **FBXW7**
R479Q

Gene and Alteration: FBXW7 encodes the F-box protein subunit of the SCF ubiquitin ligase complex, which targets proteins for degradation¹⁶⁷. FBXW7 inactivation is associated with chromosomal instability and with stabilization of proto-oncogenes, such as mTOR, MYC, cyclin E, NOTCH, and JUN; FBXW7 is therefore considered a tumor suppressor^{167,168}. Alterations that disrupt the dimerization domain (aa67-90)^{169,170}, F-box domain (aa278-324)¹⁷¹, or WD40 repeats (aa378-659)¹⁷², including hot spot residues R465, R479, or R505, are likely to result in failure to target its substrates for degradation and to promote tumorigenesis^{168,173,174,175}.

Frequency and Prognosis: Mutations in FBXW7 have been identified in 9-21% of colorectal adenocarcinomas^{15,146,147}. FBXW7 has been reported to be the fourth most commonly mutated gene in colorectal cancer, with mutations in 6-10% of cases in the scientific literature^{176,177,178}. Mutations in FBXW7 have also been identified in 4-7% of colorectal adenomas^{177,179}. Low FBXW7 mRNA levels are associated with poor patient prognosis, and FBXW7 inactivation in colorectal cancer has been associated with chromosomal instability^{177,180}.

Potential Treatment Strategies: Preclinical studies indicate that loss or inactivation of FBXW7 may predict sensitivity to mTOR inhibitors, such as the FDA-approved therapies everolimus and temsirolimus^{181,182}. In two case reports, temsirolimus elicited a radiographic response in a patient with FBXW7-mutant lung cancer¹⁸³, and a patient with FBXW7-mutated papillary renal cell carcinoma responded to everolimus for 13 months¹⁸⁴. In another study, 7/10 patients with FBXW7 mutations in different tumor types achieved stable disease for 2.2-6.8+ months upon treatment with various mTOR inhibitors¹⁸⁵. However, several clinical studies have shown that inhibitors of the PI3K-AKT-mTOR pathway have not produced significant clinical benefit when used as a monotherapy in patients with colorectal cancer, including those whose tumors harbor alterations in PIK3CA and/or PTEN, and that resistance may occur at least in part through activation of the RAS-MAPK pathway^{186,187,188}. Combination therapies may be required to overcome this resistance, as demonstrated by both preclinical and clinical studies evaluating the efficacy of mTOR inhibitors in combination with sorafenib¹⁸⁹, other inhibitors of the VEGF signaling pathway^{190,191}, or inhibitors of BCL2 family proteins¹⁹². Reduction in FBXW7 was reported to result in accumulation of the FBXW7 substrates NOTCH1, c-MYC, and cyclin E¹⁹³, but therapeutic strategies targeting these proteins have not been tested in the context of FBXW7 inactivation (Dombret et al., 2014; ASH Abstract 117, Thieblemont et al., 2014; ASH Abstract 4417)^{194,195,196,197,198,199,200}. FBXW7 inactivation may also result in resistance to anti-tubulin chemotherapies based on results from preclinical studies²⁰¹.

● **RNF43**
G659fs*41,
R225fs*194

Gene and Alteration: RNF43 encodes a ubiquitin ligase²⁰² that was discovered because it is overexpressed in colon cancer²⁰³. RNF43 and the homologous E3 ubiquitin ligase ZNRF3 are tumor suppressors that function as negative regulators of WNT signaling^{204,205,206,207,208}. An additional tumor suppressor-like role for RNF43 in colon cancer is hypothesized to be its interaction with the ubiquitin protein ligase NEDL1, which is believed to enhance the pro-apoptotic role of p53²⁰⁹.

Frequency and Prognosis: RNF43 mutations have been reported to occur in 18-27% of endometrial cancers^{210,211}, 3-5% of pancreatic cancers²¹², 21% of ovarian mucinous carcinomas²¹³, 9% of liver fluke-associated cholangiocarcinomas²¹⁴, and up to 18% of colorectal cancers^{15,211}. RNF43 mutations are associated with mismatch repair deficiency and microsatellite instability (MSI) in colorectal²¹¹, endometrial²¹¹, and gastric cancers^{215,216}; one study reported RNF43 alterations in >50% of MSI gastric carcinomas²¹⁵.

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Potential Treatment Strategies: Preclinical studies have reported that RNF43 is a negative regulator of WNT signaling, and RNF43 loss or inactivation leads to WNT activation and confers sensitivity to WNT pathway inhibitors, particularly Porcupine inhibitors, in multiple tumor types^{204,205,206,207,208}. Therefore, patients whose tumors harbor inactivating alterations in RNF43 may benefit from WNT pathway inhibitors, which are under investigation in clinical trials.

● **ARID1B**
T1639M

Gene and Alteration: ARID1B encodes the AT-rich interactive domain-containing protein 1B, also known as BAF250B, which is a member of the SWI/SNF chromatin remodeling complex. Germline mutations in ARID1B and in other SWI/SNF component genes have been identified in the developmental disorder Coffin-Siris syndrome²¹⁷. ARID1B and many other members of this complex have been identified as tumor suppressors in a wide range of tumors²¹⁸.

Frequency and Prognosis: In TCGA datasets, ARID1B alterations have been reported with highest incidence in stomach adenocarcinoma (12%), skin cutaneous melanoma (11%), bladder urothelial carcinoma (9%), lung adenocarcinoma (8%), liver hepatocellular carcinoma (6%), colorectal adenocarcinoma (6%), and lung squamous cell carcinoma (6%) (cBioPortal, 2016). ARID1B deletions have been reported in the B-cell lymphoma Waldenstrom macroglobulinemia²¹⁹. In one study, exome sequencing of MSI CRC patients revealed that ARID1B was frequently mutated (13%, 4/46)²²⁰. Low level ARID1B expression levels were associated with tumor progression in gastric carcinoma²²¹. In neuroblastoma ARID1B mutations were observed in 11% (8/71) of tumors and alterations in ARID1B genes were associated with more aggressive disease and reduced survival²²².

Potential Treatment Strategies: There are no targeted therapies to address the inactivation of ARID1B. In a preclinical study, mutant ARID1B failed to repress Wnt/beta-catenin pathway due to decreased BRG1 and beta-catenin interaction, which may indicate Wnt pathway is activated in tumors with ARID1B loss of function²²³.

● **ASXL1**
G645fs*58

Gene and Alteration: ASXL1 (additional sex combs-like 1) encodes a chromatin-binding protein involved in transcriptional regulation through interaction with the polycomb complex proteins and various other transcriptional regulators^{224,225}. Germline inactivating mutations affecting ASXL1 underlie the very rare developmental disorder Bohring-Opitz syndrome²²⁶. ASXL1 alterations that remove the PHD domain (amino acids 1491-1541), including truncating mutations and deletions, lead to aberrant epigenetic regulation^{225,227,228}.

Frequency and Prognosis: ASXL1 mutations have been reported in various solid tumors, including 4% of colorectal cancers¹⁴⁷, 3% of breast cancers²²⁹, 2% of hepatocellular carcinomas²³⁰, 2% (1/61) of prostate cancers²³¹, and 1.4% (1/74) of head and neck squamous cell carcinomas²³². ASXL1 amplification has also been reported in 5.1% of cervical cancers²³³. ASXL1 mutations have mainly been studied and reported in the context of hematological malignancies, where they have been correlated with poor prognosis in myelodysplastic syndromes, chronic myelomonocytic leukemia, acute myeloid leukemia, and myeloproliferative neoplasms^{224,227,234}.

Potential Treatment Strategies: There are no targeted therapies available to address genomic alterations in ASXL1.

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● **CDC73**
G416fs*12

Gene and Alteration: CDC73 encodes parafibromin, a component of the PAF protein complex²³⁵. PAF complexes with BCL9, PYGO, and beta-catenin to assemble a nuclear Wnt-signaling complex²³⁶. Parafibromin has been reported to inhibit MYC, CCND1^{237,238,239,240,241}, as well as cell proliferation^{241,242,243,244}. It can also activate or inhibit beta-catenin signaling, depending on context^{236,245,246}. Inactivating germline mutations in CDC73 are causal in hyperparathyroidism-jaw tumor syndrome²⁴⁷, and frequent somatic mutation has been documented in parathyroid carcinoma (PC); however, CDC73 mutation is rare in benign parathyroid adenoma²⁴⁸. Heterozygous germline inactivation of CDC73 has additionally been suggested to be a predisposing factor for PC²⁴⁹.

Frequency and Prognosis: Loss of parafibromin expression and to some extent CDC73 mutation has been correlated with higher incidence of metastasis, disease recurrence, and in some cases decreased overall survival in PC patients^{250,251}. CDC73 down-regulation has also been observed in oral squamous cell carcinomas (OSCC), and knockdown of CDC73 results in increased cell viability and proliferation in preclinical OSCC models^{242,243}.

Potential Treatment Strategies: At present there are no targeted therapies available to address genomic alterations in CDC73.

● **CDH1**
L355fs*1

Gene and Alteration: CDH1 encodes the transmembrane protein E-cadherin, which plays an important role in epithelial cell-cell adhesion and tissue morphogenesis²⁵². Loss of E-cadherin expression leads to decreased cellular adhesion and results in cell migration and cancer metastasis^{253,254,255,256}. CDH1 alterations that remove or disrupt critical domains of E-cadherin, including extracellular cadherin domains (amino acids 155-709), juxtamembrane domain (amino acids 734-783), and catenin binding domain (amino acids 811-882) are predicted to be inactivating^{257,258,259,260,261}. Germline mutations in CDH1 are highly associated with hereditary diffuse gastric cancer (HDGC) and present in 25-50% of HDGC cases^{262,263,264}. Germline CDH1 mutations have also been implicated in invasive lobular breast carcinoma^{265,266,267,268}.

Frequency and Prognosis: CDH1 mutations have been reported in 1-3% of colorectal adenocarcinomas^{146,147}. E-cadherin functions as an 'invasion-suppressor' protein in colorectal carcinomas; loss of function or expression contributes to cellular proliferation, invasion and metastasis^{269,270}. In a majority of tumors, downregulation of E-cadherin is caused by transcriptional repression and epigenetic silencing by methylation, not by gene mutations^{271,272,273}. Germline CDH1 mutations have been reported to be associated with early-onset colorectal cancer²⁷⁴. Loss of E-cadherin expression, and subsequent colon tumor budding, is associated with poor prognosis and short survival^{275,276,277,278}.

Potential Treatment Strategies: There are no available drugs to compensate directly for CDH1 mutation or loss, or E-cadherin inactivation.

● **CHD2**
V175fs*1

Gene and Alteration: CHD2 encodes chromodomain helicase DNA binding protein 2, an ATPase/helicase that alters gene expression by modifying chromatin structure. Germline deletions and mutations in CHD2 are associated with several epilepsy syndromes, including Dravet syndrome and Lennox-Gastaut syndrome^{279,280,281}.

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Frequency and Prognosis: Somatic mutations in CHD2 have been reported in 1% of all cancers in COSMIC, and including in 7% of pituitary, 6% of colorectal, 4% of skin, and 3% of urinary tract cancers (COSMIC, 2016). Loss-of-function mutations in CHD2 have been observed in nearly 50% of MSI-high colorectal and gastric cancers²⁸², and differential expression of CHD2 was reported to be associated with colon cancer progression²⁸³. Deletion of this gene has also been observed in a Hodgkin lymphoma cell line²⁸⁴. In agreement with these findings, preclinical research has suggested that CHD2 is a tumor suppressor that plays roles in the DNA damage response²⁸⁵.

Potential Treatment Strategies: There are no therapies available to directly address genomic alterations in CHD2.

● **CHD4**
K945fs*28

Gene and Alteration: CHD4 encodes chromodomain helicase DNA binding protein 4 (also called Mi2-beta or Mi2b), a core catalytic subunit of the nucleosome remodeling and deacetylase (NuRD) complex. The NuRD complex is an epigenetic regulator that can activate or repress the transcription of target genes, and it has been shown to play a role in stem cell function and oncogenesis^{286,287}.

Frequency and Prognosis: CHD4 mutations are found in up to 18% in gynecological cancers and are especially prevalent in endometrial and uterine cancers, while CHD4 amplification is reported most commonly in testicular germ cell tumors (20%), ovarian cancer (10%), and low-grade glioma (7%) (COSMIC, cBioPortal, 2016). One study reported CHD4 mutations in 8% (5/63) of microsatellite-unstable gastric and colorectal cancers but in none of the 90 evaluated microsatellite-stable gastric and colorectal cancers²⁸². Several preclinical studies have suggested that CHD4 may be an oncogene. One study reported that CHD4 and the NuRD complex cooperate with DNA methyltransferases to silence tumor suppressor genes in colorectal cancer²⁸⁸, whereas a second study found that CHD4 drives a large-scale gene expression program in glioblastoma tumor-initiating cells and plays essential roles in the maintenance of the stem-cell-like, tumorigenic state of these cells²⁸⁹. However, other studies have reported that CHD4 can have NuRD-independent functions in the DNA damage response and thereby acts as a tumor suppressor²⁹⁰. In one preclinical study, CHD4 depletion sensitized cells to DNA damaging agents such as etoposide and camptothecin, as well as to PARP inhibitors²⁹¹.

Potential Treatment Strategies: There are no therapies available to directly address genomic alterations in CHD4.

● **FANCL**
K300fs*43

Gene and Alteration: FANCL encodes a member of the Fanconi anemia nuclear complex, a multiprotein structure also including the products of FANCA, FANCC, FANCF and FANCG. The activity of this complex is essential to prevention of chromosome breakage caused by DNA damage²⁹². Germline mutations in FANCL cause Fanconi anemia, a clinically heterogeneous disorder involving various developmental abnormalities as well as predisposition to cancer; underlying these phenotypes are defects in DNA repair²⁹³.

Frequency and Prognosis: Somatic mutations in FANCL are infrequently observed (<1%) in human malignancies (COSMIC, 2016).

Potential Treatment Strategies: There are no targeted therapies that directly address genomic alterations in FANCL.

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GENE
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● **FAT1**
A4305V

Gene and Alteration: FAT1 encodes a protocadherin protein which normally promotes actin polymerization and cell migration, and has been shown to block beta-catenin nuclear localization and inhibit transcriptional activity. FAT1 inactivation leads to upregulated WNT signaling and may contribute to tumorigenesis²⁹⁴.

Frequency and Prognosis: FAT1 loss is frequent in cancer; FAT1 deletion has been observed in 80% of primary oral cancers and correlates with a transition from ductal to invasive breast cancer²⁹⁵. FAT1 mutations have been reported in glioblastoma (20.5%), colorectal cancer (7.7%), and head and neck cancer (6.7%)²⁹⁴. FAT1 may function differently in hematopoietic malignancies, as FAT1 expression is upregulated in multiple types of leukemia and has been associated with poor patient prognosis²⁹⁵.

Potential Treatment Strategies: There are no therapies available to directly address FAT1 genomic alterations.

● **JAK1**
K860fs*16

Gene and Alteration: The JAK1 (Janus kinase 1) gene encodes a tyrosine kinase that regulates signals triggered by cytokines and growth factors²⁹⁶. Dysregulation of JAK/STAT signaling has been implicated in a variety of epithelial tumors²⁹⁷. However, JAK/STAT signaling is required for the antiviral and antiproliferative effects of interferons²⁹⁸. JAK1 alterations that result in the disruption or loss of the kinase domain (875-1153), such as seen here, are predicted to be inactivating. JAK1 truncating mutations have been reported in approximately 8% of gynecological tumors in one study and characterized to be defective for interferon-gamma-induced tumor antigen presentation, suggesting that JAK1 truncating mutations could contribute to tumor immune evasion in gynecologic cancers²⁹⁹.

Frequency and Prognosis: JAK1 mutations have been reported in 2-4% of colon adenocarcinoma samples analyzed (COSMIC, cBioPortal, Dec 2015). JAK1 mutation in colorectal cancer has not been extensively studied in the scientific literature (PubMed, Dec 2015). JAK/STAT pathway components JAK1, JAK2, and STAT3 have been found to be involved in cell growth, survival, invasion, and migration in colorectal cancer cells, and nuclear localization of phospho-STAT3 was noted in colorectal adenomas and adenocarcinomas as compared to normal colonic epithelium³⁰⁰. JAK1-mediated activation has been reported to promote chemotherapy and MEK inhibitor resistance in colorectal cells^{301,302}. Most transforming JAK1 mutations show increased tyrosine phosphorylation and activation of downstream signaling pathways, although the degree of transforming ability does not correspond closely to these factors³⁰³.

Potential Treatment Strategies: Inhibitors of the JAK/STAT pathway are under development. The JAK1/JAK2 inhibitor ruxolitinib is FDA approved to treat myelofibrosis, and has shown efficacy in reducing symptoms in Phase 1 and 2 trials in patients with myeloproliferative disorders^{304,305,306}. Other small molecule inhibitors of JAK1 are being investigated in preclinical studies in some types of solid tumors^{307,308}. Hsp90 inhibitors are also being investigated in preclinical studies to target components of the JAK/STAT pathway such as JAK1³⁰⁹. However, as the mutation reported here is predicted to be inactivating, these therapeutic approaches would not be relevant.

● **KDM6A**
W1194*

Gene and Alteration: KDM6A encodes UTX, a histone H3 lysine 27 demethylase that functions as a transcriptional regulator³¹⁰. A significant number of inactivating KDM6A mutations have been found across multiple tumor types, suggesting a role as a tumor suppressor³¹⁰.

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Frequency and Prognosis: In the COSMIC database, KDM6A mutations have been reported in 2% of samples analyzed, with the highest incidence in tumors of the urinary tract (16%) and salivary gland (7%, 6/87) (COSMIC, 2016). KDM6A mutations or copy number alterations have also been identified in medulloblastoma (8.9%)³¹¹, adenoid cystic carcinoma (6.7%)³¹², and metastatic prostate cancer (10%)²³¹. KDM6A inactivation has been found as a recurrent tumorigenic event in male T-cell acute lymphoblastic leukemia (T-ALL), and loss of KDM6A increased the sensitivity of T-ALL cells to therapies targeting histone H3 lysine 27 methylation in preclinical assays³¹³. However, KDM6A overexpression has been noted in breast cancer and renal cell carcinoma, and correlated with inferior prognosis in patients with breast cancer^{314,315,316}.

Potential Treatment Strategies: There are no therapies available to address KDM6A alterations.

● **MLL2**
V3089fs*30

Gene and Alteration: MLL2 encodes an H3K4-specific histone methyltransferase that is involved in the transcriptional response to progesterone signaling³¹⁷. Germline de novo mutations of MLL2 are responsible for the majority of cases of Kabuki syndrome, a complex and phenotypically distinctive developmental disorder³¹⁸.

Frequency and Prognosis: Somatic alterations of MLL2 are frequently observed in lymphoma, including in the majority of follicular lymphomas, where the observed pattern of genomic alterations suggests a tumor suppressor function³¹⁹. MLL2 alterations are also observed in a number of solid tumor contexts (COSMIC, 2016), being especially prevalent in squamous cell lung carcinoma³²⁰.

Potential Treatment Strategies: There are no targeted therapies available to address genomic alterations in MLL2.

● **NOTCH1**
P2512fs*45+

Gene and Alteration: NOTCH1 encodes a member of the NOTCH family of receptors, which are involved in cell fate determination and various developmental processes. Depending on cellular context, NOTCH1 can act as either a tumor suppressor or an oncogene^{321,322}. Upon binding of membrane-bound ligands, the NOTCH1 intracellular domain (NICD) is cleaved and forms part of a transcription factor complex that regulates downstream target genes involved in cell fate determination, proliferation, and apoptosis^{323,324}. NOTCH1 truncation mutations that disrupt the PEST domain (amino acids 2424-2555), such as observed here, have been shown to stabilize intracellular NOTCH1 and cause a modest increase in activity^{325,326}.

Frequency and Prognosis: NOTCH1 mutations have been reported in 5% of colorectal carcinoma cases (COSMIC, May 2016). NOTCH1 protein expression levels correlate with colorectal disease progression, being found in 7.7% of patients with ulcerative colitis, 14.7% of patients with colorectal adenoma, and 58% of patients with colorectal cancer³²⁷. NOTCH1 protein has been found to be enriched in colorectal cancers compared to normal tissues, and high levels of NOTCH1 are associated with poor prognosis^{327,328,329}.

Potential Treatment Strategies: NOTCH1 inhibitors and gamma-secretase inhibitors (GSIs) may be a potential therapeutic approach in the case of NOTCH1 activating mutations^{194,195,330,331,332,333,334,335}. A complete response to the GSI BMS-906024 was achieved in a patient with T-cell acute lymphoblastic leukemia (T-ALL) harboring a NOTCH1 HD domain mutation (Knoechel et al., 2015; doi: 10.1101/mcs.a000539); BMS-906024 has been shown to have pan-NOTCH signaling inhibitory activity in vitro and anti-tumor efficacy in xenograft models of leukemia and triple-negative breast cancer harboring NOTCH1 and NOTCH3 activating mutations or overexpression³³⁶.

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GENE
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● **NOTCH2**
N1999fs*32

Gene and Alteration: NOTCH2 encodes a member of the NOTCH family of receptors, which play a role in cell fate determination and various developmental processes. Upon binding of membrane bound ligands, NOTCH signaling involves gamma-secretase (GS) cleavage of the NOTCH intracellular domain (NICD), which subsequently forms part of a transcription factor complex that regulates downstream target genes^{323,324}. Depending on cellular context, NOTCH2 can act as either a tumor suppressor or an oncogene^{321,337,338,339,340}. NOTCH2 alterations that disrupt or remove the ANK repeat region (amino acids 1876-2041), such as seen here, are predicted to be inactivating^{341,342}.

Frequency and Prognosis: In the TCGA dataset, NOTCH2 mutation was observed in 5% of colorectal adenocarcinoma cases and NOTCH2 homozygous deletion was observed in fewer than 1% of cases¹⁵. In a study of over 1000 patients with colorectal cancer, loss of NOTCH2 protein expression predicted adverse prognosis and was significantly associated with poor overall survival³²⁹.

Potential Treatment Strategies: Several approaches for inhibiting NOTCH2 signaling have been developed, including neutralizing Notch antibodies such as OMP-59R5³⁴³, which targets NOTCH2 and NOTCH3, and pan-Notch inhibitors, such as gamma-secretase inhibitors (GSI). A Phase 1b study of OMP-59R5 in combination with gemcitabine and nab-paclitaxel has shown promising efficacy (up to 50% partial response) in patients with untreated metastatic pancreatic cancer (O'Reilly et al., 2015; Gastrointestinal Cancers Symposium Abstract 278). A Phase 1b study of OMP-59R5 in combination with etoposide and cisplatin for small cell lung cancer reported a median progression free survival of 124 days and 84% overall response rate (Pietanza et al., 2015; ASCO Abstract 7508). The GSI BMS-906024 inhibits NOTCH activity in vitro and exhibits anti-tumor activity in xenograft models of leukemia and triple negative breast cancer harboring NOTCH1 and NOTCH3 activating mutations or overexpression³³⁶. These agents are being investigated in preclinical studies and early clinical trials in various tumor types³⁴⁴. While activating mutations may be targeted via gamma-secretase inhibitors, there are no therapies available to address NOTCH2 inactivation, as seen here.

● **RAD50**
K722fs*14

Gene and Alteration: RAD50 binds to MRE11 and NBS1 to form the MRE-RAD50-NBS1 (MRN) complex. The MRN complex regulates DNA double-strand break repair, cell cycle checkpoint activation, telomere maintenance, and meiotic recombination³⁴⁵. RAD50 contains three critical regions that are primarily responsible for its function: the central coiled-coil domain (amino acids 228-1079), the zinc-hook loop (amino acids 635-734), and an ATPase domain formed by portions from both the N and C termini (amino acids 1-45 and 1201-1238). Mutations truncating the RAD50 coiled-coil domain have been shown to negatively impact homologous recombination and nonhomologous end-joining and to inhibit telomere maintenance and meiotic double-strand break (DSB) formation³⁴⁶.

Frequency and Prognosis: RAD50 is mutated at a relatively high frequency in colorectal and endometrial cancers (2-9%) and at lower frequencies across a range of solid tumors (cBioPortal, COSMIC, 2016). Germline mutations in RAD50 have been reported in hereditary breast and/or ovarian cancer (HBOC), but they are rare and not significantly associated with increased risk of cancer^{347,348}. High expression of MRE11 or NBS1 protein or the entire MRN complex was shown to be associated with microsatellite stability, earlier tumor stage, and longer survival in patients with colorectal cancer (CRC)³⁴⁹.

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Potential Treatment Strategies: There are no targeted therapies approved or in clinical trials that directly address genomic alterations in RAD50. Deficiencies in or disruption of MRN complex components have been shown to sensitize cancer cells to PARP inhibitors, including those under investigation in clinical trials^{350,351,352,353,354}. However, conflicting results have been reported regarding whether depletion of RAD50 specifically confers sensitivity to PARP inhibitors^{351,353}. In a preclinical study, CRC cells with mutations in both MRE11 and RAD50 were highly sensitive to irinotecan³⁵⁵, and other studies have reported that disruption of RAD50 sensitizes human cancer cells to cisplatin^{356,357}. Furthermore, a case report described a patient with metastatic small cell carcinoma who was treated with a combination of irinotecan and a CHK1/2 inhibitor and achieved a durable complete response that has continued more than 3 years after discontinuation of drug therapy; the study showed that the patient's tumor harbored a destabilizing RAD50 mutation and that RAD50 loss or inactivation moderately sensitizes cells to topoisomerase I inhibitors and provides far stronger sensitivity to such agents in the setting of ATR or CHK1 inactivation³⁵⁸.

● **RB1**
N123fs*8

Gene and Alteration: RB1 encodes the retinoblastoma protein (Rb), a tumor suppressor and negative regulator of the cell cycle^{359,360}. RB1 alterations that disrupt or remove the pocket domain (aa 373-771) and/or the C-terminal domain (aa 773-928), such as observed here, are predicted to be inactivating^{361,362,363,364,365,366,367}. Mutations in RB1 underlie the development of retinoblastoma (RB), a rare tumor that arises at a rate of approximately 1:20,000 live births, with nearly 5,000 new cases worldwide per year³⁶⁸. Germline mutations in RB1 account for approximately 40% of RB tumors³⁶⁹ and are associated with an increased risk of developing secondary malignancies that include soft tissue and bone sarcoma and malignant melanoma^{370,371}. In the appropriate clinical context, germline testing of RB1 is recommended.

Frequency and Prognosis: In TCGA dataset, mutation of RB1 has been found in 2.2% of colorectal adenocarcinoma cases¹⁵. Although RB1 loss is frequent in many types of cancer, RB1 amplification and/or overexpression has been reported in colorectal cancer, with RB1 mRNA overexpression detected in 37.5% of colorectal tumors and Rb expression found in 83-88% of cases^{372,373,374,375}.

Potential Treatment Strategies: There are no therapeutic options to target the activation or inactivation of Rb. Preclinical studies are actively investigating possible therapies to address Rb inactivation, exploring avenues such as Aurora kinase inhibitors, BCL2 family inhibitors, and NOTCH pathway activation^{376,377,378}. Loss of Rb function has been associated with increased sensitivity to cytotoxic agents and chemotherapeutics in both preclinical studies and in patients with bladder or breast cancer^{360,379}. RB1 inactivation predicts resistance to CDK4/6 inhibitors that act upstream of Rb^{380,381,382,383}.

● **RUNX1**
R201fs*10

Gene and Alteration: RUNX1 encodes a transcription factor that is involved in developmental gene expression programs and hematopoiesis. It is a frequent site of translocation and mutation in myeloid cancers, and it functions as a tumor suppressor in this context^{384,385}. Reports of RUNX1 translocations and mutations in myelodysplastic syndrome (MDS) and acute myeloid leukemia (AML) are common. RUNX1 plays a context-dependent role in epithelial cells and has been implicated as both a tumor suppressor and oncogene in different types of solid tumors³⁸⁶. RUNX1 alterations that result in loss or disruption of the RUNT domain (amino acids 50–178) or C-terminal transactivation domain (amino acids 291–371), including alterations at residues R107 (also known as R80), K110 (K83), L144 (L117), D198 (D171), R201 (R174), or R204 (R177) and the mutation R172G (R135G)^{387,388,389,390,391,392}, as observed here, are predicted to be inactivating.

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Frequency and Prognosis: RUNX1 mutations have been reported in 0-5% of colorectal adenocarcinomas (cBioPortal, COSMIC, Nov 2015)^{15,146}. Some RUNX1 alterations have been reported to be significantly associated with increased risk of colon cancer, and to be associated with increased risk of rectal cancer, though the rectal association was not statistically significant³⁹³. A preclinical study showed that RUNX1 deficiency in intestinal epithelial cells enhanced tumor formation in APC- mice and promoted tumor formation in APC+ mice, indicating that RUNX1 acts as a tumor suppressor in colon cancer³⁹⁴.

Potential Treatment Strategies: There are no therapies available to directly target inactivating alterations in RUNX1. Limited clinical (Kuendgen et al., 2013; ASH Abstract 2757)³⁹⁵ and preclinical³⁹⁶ data suggest that RUNX1 alterations, rearrangements in particular, may be associated with sensitivity to DNMT inhibitors, such as the approved agents azacitidine and decitabine. However, multiple clinical studies have reported that RUNX1 is not a significant biomarker for efficacy of these therapies (Kuendgen et al., 2013; ASH Abstract 2757, Guadagnuolo et al., 2014; ASH Abstract 1030)^{397,398}. Similarly, on the basis of limited clinical³⁹⁹ and preclinical^{400,401,402} evidence, RUNX1 rearrangements may predict sensitivity to HDAC inhibitors. However, further studies are required to establish clinical significance.

● **SETD2**
S2382fs*29

Gene and Alteration: SETD2 encodes a histone lysine-36 methyltransferase⁴⁰³ that preferentially interacts with the expanded N-terminal polyglutamine tracts present in mutant huntingtin, implicating it in the pathogenesis of Huntington disease⁴⁰⁴. SETD2 mRNA expression has been observed to be consistently reduced in breast tumors relative to adjacent non-tumor tissue, suggesting a potential tumor suppressor role⁴⁰⁵.

Frequency and Prognosis: Somatic inactivating alterations of SETD2 are documented to occur at low frequency in a number of solid tumors, most commonly in renal carcinoma⁴⁰⁶. SETD2 mutations have been detected in 6-12% of acute lymphoblastic leukemias (ALL) and reportedly increase chromosomal abnormalities and contribute to leukemia development^{407,408,409}.

Potential Treatment Strategies: There are no targeted therapies available to address genomic alterations in SETD2.

● **SMARCA4**
Q194fs*109

Gene and Alteration: SMARCA4 encodes the protein BRG1, an ATP-dependent helicase that regulates gene transcription through chromatin remodeling⁴¹⁰. SMARCA4 is inactivated in a variety of cancers and considered a tumor suppressor²¹⁸. Alterations in SMARCA4 that disrupt or remove the ARID1A-interaction domain (aa 476-587)⁴¹¹, ATP-binding domain (aa 766-931), or the bromodomain (aa 1477-1547)⁴¹² are predicted to result in loss of SMARCA4 function. Certain point mutations, including T910M and G1232D, have also been characterized to inactivate SMARCA4⁴¹³.

Frequency and Prognosis: Mutation of SMARCA4 has been documented in 3-6% of colorectal carcinoma (CRC) cases (COSMIC, Feb 2016)¹⁵. Expression of BRG1 has been reported to be elevated in CRC, and knockdown of BRG1 decreased cell proliferation and cyclin D1 expression in CRC cell lines⁴¹⁴. Loss of BRG1 expression has been shown to correlate with a poor patient prognosis in some cancers, while in others, elevated BRG1 expression is associated with poor patient prognosis^{415,416}.

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Potential Treatment Strategies: There are no therapies that directly address mutant SMARCA4 or loss of functional BRG1. However, on the basis of both clinical (Italiano et al., 2015; ECC Abstract 302, Penebre et al., 2015; EORTC Abstract C87) and preclinical (Penebre et al., 2015; EORTC Abstract C87)⁴¹⁷ data, patients with small cell carcinoma of the ovary, hypercalcemic type (SCCOHT) harboring SMARCA4 loss or inactivation may benefit from treatment with inhibitors of EZH2. In preclinical studies, cells with dual inactivation of SMARCA4 and SMARCA2, which is characteristic of SCCOHT^{418,419}, were sensitive to EZH2 inhibitors (Penebre et al., 2015; EORTC Abstract C87)⁴¹⁷, and two patients with SCCOHT experienced clinical benefit (1 partial response, 1 long-term stable disease) upon treatment with the EZH2 inhibitor tazemetostat (Italiano et al., 2015; ECC Abstract 302, Penebre et al., 2015; EORTC Abstract C87). Downregulation of BRG1 and BRM was reported to enhance cellular sensitivity to cisplatin in lung and head and neck cancer cells⁴²⁰. In vitro studies have shown that SCCOHT cell lines are sensitive to treatment with epothilone B, methotrexate, and topotecan, compared to treatment with other chemotherapies such as platinum-containing compounds; similar sensitivity was not observed for treatment with ixabepilone, a compound closely related to epothilone B⁴²¹.

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THERAPIES

There are no approved therapies in this patient's tumor type that are specific to the reported genomic alterations.

ADDITIONAL THERAPIES – FDA-APPROVED IN OTHER TUMOR TYPES

THERAPY	SUMMARY OF DATA IN OTHER TUMOR TYPE
Olaparib	<p>Approved Indications: The PARP inhibitor olaparib is FDA approved for the treatment of advanced ovarian cancer with deleterious or suspected deleterious germline BRCA mutations.</p> <p>Gene Association: Based on extensive clinical evidence in ovarian cancer^{46,47,48,49,50} as well as strong clinical evidence in multiple other cancer types^{46,49,51,52,124,422}, loss or inactivation of either BRCA1 or BRCA2 may confer sensitivity to olaparib.</p> <p>Supporting Data: A Phase 2 study reported olaparib monotherapy to be ineffective for patients with genomically unselected colorectal cancer and disease progression on prior standard systemic therapy, regardless of microsatellite status⁴²³. Olaparib has been studied primarily for the treatment of ovarian cancer and has resulted in significantly higher response rates for patients with BRCA1/2 mutations than for those without^{46,49}. Olaparib treatment has also demonstrated clinical activity for patients with breast, prostate, or pancreatic cancer and BRCA1/2 mutations^{46,49,51,52,422}.</p>
Cobimetinib	<p>Approved Indications: Cobimetinib is a MEK inhibitor that is FDA approved in combination with vemurafenib for the treatment of unresectable or metastatic melanoma with BRAF V600E or V600K mutations.</p> <p>Gene Association: HRAS amplification or activating mutations may result in activation of the MAPK pathway and may predict sensitivity to MEK inhibitors, such as cobimetinib.</p> <p>Supporting Data: Cobimetinib has been investigated primarily in the context of BRAF V600-mutant melanoma. A Phase 3 study with 495 patients treated either with the BRAF inhibitor vemurafenib plus cobimetinib or vemurafenib alone reported a 68-70% overall response rate, 9.9-12.3 months progression-free survival, and a lower rate of cutaneous squamous cell carcinoma in the combination group; disease progression did not correlate with concurrent alterations in the RAS pathway (Larkin et al., 2015; ASCO Abstract 9006)⁷⁰. In a Phase 1b study, vemurafenib combined with cobimetinib achieved an objective response rate of 87% for patients with BRAF V600-mutant melanoma who had not previously received a BRAF inhibitor⁴²⁴. One study reported near-complete response to vemurafenib in a patient with BRAF V600K-mutant melanoma who subsequently developed chronic myelomonocytic leukemia (CMML) with NRAS G12R mutation, and concurrent cobimetinib treatment led to suppression of CMML⁴²⁵. In a Phase 1b study, out of 47 patients treated with cobimetinib and the AKT inhibitor ipatasertib, 3 patients with KRAS-mutant ovarian, mesonephric cervical, or endometrial carcinoma had a partial response, with prolonged stable disease lasting for >6 months (Bendell et al., 2014; AACR Abstract CT328).</p>
Trametinib	<p>Approved Indications: Trametinib is a MEK inhibitor that is FDA approved as both a single agent and in combination with dabrafenib for the treatment of unresectable or metastatic melanoma with BRAF V600E or V600K mutations.</p> <p>Gene Association: Constitutive activation of HRAS leads to the activation of the MAPK pathway⁵⁶. Therefore, activating mutations in HRAS may predict sensitivity to MEK inhibitors such as trametinib.</p>

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Supporting Data: Preclinical studies have reported that trametinib shows some activity in colorectal cancer (CRC) cells alone and enhances antitumor effects in cells treated with 5-fluorouracil^{426,427}. In addition, preclinical investigations have shown sensitivity to trametinib in cell lines with activating KRAS mutations in codons 12, 13, and 61⁴²⁸. Phase 1 and Phase 1b studies of trametinib, alone or in combination with gemcitabine, reported some activity in several types of solid tumors^{429,430}. However, Phase 1 monotherapy trials of RO4987655, another MEK inhibitor, have shown no responses and only 1 incidence of stable disease in 31 evaluable patients with CRC, including an expansion cohort of 24 patients with KRAS mutations^{431,432}. In contrast, a trial of combination treatment with selumetinib (another MEK inhibitor) and irinotecan in patients with KRAS-mutated CRC reported confirmed partial responses (PR) in 3/31 (10%) patients, an unconfirmed PR in one patient (3%), and stable disease in 15/31 (48%) patients, improving upon historical clinical trial data of irinotecan single-agent treatment; longer progression-free survival compared to historical controls was also achieved (Hochster et al., 2013; ASCO GI Abstract 380). A Phase 1b trial of combination treatment with the MEK inhibitor MEK162 and the PI3K-alpha inhibitor BYL719 reported stable disease in 43% of patients with KRAS-mutated CRC, with responses independent of PIK3CA mutation status (Juric et al., 2014; ASCO Abstract 9051). Another Phase 1b combination trial of trametinib and the CDK4/6 inhibitor palbociclib in solid tumors observed ongoing partial responses in 2/28 (7%) of patients, including one patient with CRC harboring a NRAS Q61K mutation (Sullivan et al., 2015; AACR-NCI-EORTC Abstract PR06). However, a Phase 1b trial of a combination of trametinib and the mTOR inhibitor everolimus in patients with solid tumors reported frequent adverse events and the study was unable to identify a recommended Phase 2 dose and schedule for the combination⁴³³. Although the presence of a KRAS mutation in CRC has been associated with lack of efficacy to monotherapy MEK inhibitors^{430,432,434,435}, the extent to which other alterations affecting this pathway, such as observed here, confers sensitivity to MEK inhibitors is unclear (Tsimberidou et al., 2013; ASCO Abstract e22086).

Nivolumab

Approved Indications: Nivolumab is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with PD-L1 and PD-L2, thereby reducing inhibition of the antitumor immune response. It is FDA approved to treat unresectable or metastatic melanoma as both a single agent and in combination with the immunotherapy ipilimumab. Nivolumab is also approved to treat non-small cell lung cancer (NSCLC) following disease progression on prior treatments, advanced renal cell carcinoma following antiangiogenic therapy, and classical Hodgkin lymphoma (cHL) that has relapsed or progressed after autologous hematopoietic stem cell transplantation (HSCT) and post-transplantation brentuximab vedotin.

Gene Association: Inactivation of MSH2 has been associated with increased MSI and mutational burden^{99,100,101,102,103}, and may confer sensitivity to anti-programmed death 1 (PD-1) immune checkpoint inhibitors such as nivolumab¹⁰⁶.

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Supporting Data: Nivolumab has been studied primarily for the treatment of melanoma, NSCLC, and renal cell carcinoma^{436,437,438,439,440,441,442,443,444}. In a Phase 3 trial for treatment-naïve patients with metastatic melanoma, the combination of nivolumab and ipilimumab resulted in progression-free survival of 11.5 months, versus 6.9 months with nivolumab or 2.9 months with ipilimumab monotherapies⁴³⁸. Nivolumab, as compared with docetaxel, significantly increased overall survival (OS) in patients with platinum-refractory squamous NSCLC (9.2 vs. 6.0 months)⁴⁴¹ and with non-squamous NSCLC (12.2 vs. 9.4 months)⁴⁴². A Phase 3 study compared nivolumab with everolimus for patients with renal cell carcinoma who had received previous antiangiogenic therapy and showed longer median OS (25.0 months vs. 19.6 months) and higher objective response rate (ORR) (25% vs. 5%) with nivolumab than with everolimus⁴⁴⁴. A Phase 2 study of nivolumab for patients with platinum-resistant ovarian cancer reported an ORR of 15% (3/20), a disease control rate of 45% (9/20), and median OS of 20 months at study termination⁴⁴⁵. In patients with small cell lung cancer and progression on platinum-based chemotherapy, nivolumab alone or combined with the immunotherapy ipilimumab achieved ORRs of 18% (7/39) and 17% (7/42), respectively (Calvo et al., 2015; ECC Abstract 3098). Nivolumab demonstrated an ORR of 87% (4/23 complete response, 16/23 partial response, 3/23 stable disease) in patients with Hodgkin lymphoma, which frequently harbors copy gains in the genes encoding PD-L1 and PD-L2 (Ansell et al., 2015; ASH Abstract 583)⁴⁴⁶.

Pembrolizumab

Approved Indications: Pembrolizumab is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with the ligands PD-L1 and PD-L2 to enhance antitumor immune responses. It is FDA approved to treat unresectable or metastatic melanoma and PD-L1-positive metastatic non-small cell lung cancer (NSCLC) refractory to prior therapy.

Gene Association: Inactivation of MSH2 has been associated with increased MSI and mutational burden and may confer sensitivity to anti-programmed death 1 (PD-1) immune checkpoint inhibitors such as pembrolizumab^{104,105}. In one study, among 6 patients with MSH2 mutations, one patient with bile duct carcinoma had a partial response (PR) and two patients with colorectal carcinoma had stable disease following treatment with pembrolizumab¹⁰⁴.

Supporting Data: Patients with mismatch repair-deficient tumors benefited from pembrolizumab in a Phase 2 study¹⁰⁴: In MSI-high colorectal cancer (CRC) compared with microsatellite stable CRC, pembrolizumab demonstrated a significantly higher ORR (4/10 vs. 0/18) and disease control rate (9/10 vs. 2/18) and associated with lower risk of disease progression or death (hazard ratio 0.10); similar response rates were observed in patients with MSI-high non-CRC tumors (ORR 5/7)¹⁰⁴.

Genomic alterations detected may be associated with activity of certain approved drugs; however, the agents listed in this report may have little or no evidence in the patient's tumor type.

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CLINICAL TRIALS TO CONSIDER

IMPORTANT: While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or research staff. This is not meant to be a complete list of available trials. In order to conduct a more thorough search, please go to www.clinicaltrials.gov and use the search terms provided below. For more information about a specific clinical trial, type the NCT ID of the trial indicated below into the search bar.

GENE RATIONALE FOR POTENTIAL CLINICAL TRIALS

Tumors with BRCA1 inactivating mutation or loss may be sensitive to PARP inhibitors.

- **BRCA1**
K654fs*47

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "BRCA1", "PARP", "olaparib", "rucaparib", "BMN 673", "ABT-888", "veliparib", "E7449", "niraparib", "colorectal adenocarcinoma", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Phase I/II, Open-Label, Safety, Pharmacokinetic, and Preliminary Efficacy Study of Oral Rucaparib in Patients With gBRCA Mutation Ovarian Cancer or Other Solid Tumor	Phase 1/Phase 2	PARP	Tennessee, England (United Kingdom), Scotland (United Kingdom)	NCT01482715
A Phase I Dose-Escalation Study of Oral ABT-888 (NSC #737664) Plus Intravenous Irinotecan (CPT-11, NSC#616348) Administered in Patients With Advanced Solid Tumors	Phase 1	PARP, TOP1	Connecticut, Maryland, Massachusetts, Michigan, Tennessee	NCT00576654
Phase 1 Trial of ABT-888 and SCH727965 in Patients With Advanced Solid Tumors	Phase 1	CDK1, CDK2, CDK5, CDK9, PARP	Massachusetts	NCT01434316
Pilot Trial of BMN 673, an Oral PARP Inhibitor, in Patients With Advanced Solid Tumors and Deleterious BRCA Mutations	Phase 1/Phase 2	PARP	Maryland	NCT01989546

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE RATIONALE FOR POTENTIAL CLINICAL TRIALS

- **BRCA2**
D946fs*14

BRCA2 loss or inactivating mutations result in accumulation of DNA damage and loss of cell cycle control, and may predict sensitivity to inhibitors of PARP, which facilitates DNA repair.

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "BRCA2", "PARP", "olaparib", "rucaparib", "BMN 673", "ABT-888", "veliparib", "E7449", "niraparib", "colorectal carcinoma", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Phase I/II, Open-Label, Safety, Pharmacokinetic, and Preliminary Efficacy Study of Oral Rucaparib in Patients With gBRCA Mutation Ovarian Cancer or Other Solid Tumor	Phase 1/Phase 2	PARP	Tennessee, England (United Kingdom), Scotland (United Kingdom)	NCT01482715
Phase 1 Trial of ABT-888 and SCH727965 in Patients With Advanced Solid Tumors	Phase 1	CDK1, CDK2, CDK5, CDK9, PARP	Massachusetts	NCT01434316
A Modular Phase I, Open-Label, Multicentre Study to Assess the Safety, Tolerability, Pharmacokinetics and Preliminary Anti-tumour Activity of AZD6738 in Combination With Cytotoxic Chemotherapy and/or DNA Damage Repair/Novel Anti-cancer Agents in Patients With Advanced Solid Malignancies.	Phase 1	PARP, PD-L1, ATR	California, New York, London (United Kingdom), Manchester (United Kingdom), Seoul (Korea, Republic of), Sutton (United Kingdom), Villejuif (France)	NCT02264678
Pilot Trial of BMN 673, an Oral PARP Inhibitor, in Patients With Advanced Solid Tumors and Deleterious BRCA Mutations	Phase 1/Phase 2	PARP	Maryland	NCT01989546
A Phase I Multi-centre Trial of the Combination of Olaparib (PARP Inhibitor) and AZD5363 (AKT Inhibitor) in Patients With Advanced Solid Tumours	Phase 1	AKT, PARP	Newcastle upon Tyne (United Kingdom), Surrey (United Kingdom)	NCT02338622

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE

RATIONALE FOR POTENTIAL CLINICAL TRIALS

- **HRAS**
G12S

HRAS amplification or activating mutations may result in activation of the RAF-MEK-ERK and PI3K-AKT pathways, and may therefore predict sensitivity to MEK and PI3K inhibitors.

Several clinical studies have shown that inhibitors of the PI3K-AKT-mTOR pathway have not produced significant clinical benefit when used as a monotherapy in patients with colorectal cancer; combination therapies may be required for efficacy.

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "RAS", "MEK", "PI3K", "trametinib", "cobimetinib", "reolysin", "colorectal carcinoma", and/or "solid tumor".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Phase Ib Open-label, Multi-center, Dose Escalation and Expansion Study of Orally Administered MEK162 Plus BYL719 in Adult Patients With Selected Advanced Solid Tumors	Phase 1/Phase 2	PI3K-alpha, MEK	Illinois, Massachusetts, New York	NCT01449058
A Cancer Research UK Phase I Dose Escalation Trial of Oral VEGFR and EGFR Inhibitor, Vandetanib in Combination With the Oral MEK Inhibitor, Selumetinib (VanSel-1) in Solid Tumours (Dose Escalation) and NSCLC (Expansion Cohort).	Phase 1	MEK, RET, VEGFR2, EGFR	Cambridge (United Kingdom), Manchester (United Kingdom), Newcastle (United Kingdom), Oxford (United Kingdom)	NCT01586624
A Phase 1b, Multi-center, Open-label, Dose Escalation Study of GSK2256098 (FAK Inhibitor) in Combination With Trametinib (MEK Inhibitor) in Subjects With Advanced Solid Tumors	Phase 1	MEK, FAK	London (United Kingdom)	NCT01938443
A Phase IB Study of the Combination of AZD6244 Hydrogen Sulfate (Selumetinib) and Cyclosporin A (CsA) in Patients With Advanced Solid Tumors With an Expansion Cohort in Metastatic Colorectal Cancer	Phase 1	MEK	Colorado, Missouri, New Jersey, North Carolina, Ohio, Pennsylvania, Texas, Ontario (Canada)	NCT02188264

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE

RATIONALE FOR POTENTIAL CLINICAL TRIALS

- **MSH2**
G71*

Inactivation of MSH2 may lead to microsatellite instability and may therefore confer sensitivity to anti-PD-1 immune checkpoint inhibitors.

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "PD-1", "pembrolizumab", "nivolumab", "colorectal carcinoma", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Phase 1/2, Open-label Study of Nivolumab Monotherapy or Nivolumab Combined With Ipilimumab in Subjects With Advanced or Metastatic Solid Tumors	Phase 1/Phase 2	PD-1, CTLA-4	Colorado, Connecticut, Florida, Georgia, Maryland, Massachusetts, New York, North Carolina, Oregon, Tennessee, Texas, Washington, Barcelona (Spain), Bonn (Germany), Frankfurt (Germany), Greater London (United Kingdom), Heidelberg (Germany), Helsinki (Finland), København (Denmark), Lanarkshire (United Kingdom), Madrid (Spain), Milano (Italy), Napoli (Italy), Ontario (Canada), Surrey (United Kingdom), Tampere (Finland)	NCT01928394
A Phase 1 Dose Escalation and Cohort Expansion Study of the Safety, Tolerability, and Efficacy of Anti-LAG-3 Monoclonal Antibody (BMS-986016) Administered Alone and in Combination With Anti-PD-1 Monoclonal Antibody (Nivolumab, BMS-936558) in Advanced Solid Tumors	Phase 1	LAG-3, PD-1	Illinois, Maryland, Massachusetts, New York, Oregon, Barcelona (Spain), Pamplona (Spain)	NCT01968109
A Phase 1 Trial of MK-3475 Plus Ziv-Aflibercept in Patients With Advanced Solid Tumors	Phase 1	PD-1, VEGF-A, VEGF-B, PIGF	Florida, Massachusetts, Ontario (Canada)	NCT02298959
A Phase Ib/II Study of Pembrolizumab and Monoclonal Antibody Therapy in Patients With Advanced Cancer (PembroMab)	Phase 1/Phase 2	PD-1, EGFR, ERBB2	Arizona	NCT02318901
A Multi-Center, Single Arm, Phase II Study of Pembrolizumab (MK-3475) in Combination With Chemotherapy for Patients With Advanced Colorectal Cancer: HCRN G14-186	Phase 2	PD-1	Georgia, Indiana, Ohio	NCT02375672
A First-in-Human Study of Repeat Dosing With REGN2810, a Monoclonal, Fully Human Antibody to Programmed Death - 1 (PD 1), as Single Therapy and in Combination With Other Anti-Cancer Therapies in Patients With Advanced Malignancies	Phase 1	PD-1	Arizona, California, Colorado, Connecticut, District of Columbia, Florida, Georgia, Illinois, Massachusetts, Missouri, New Jersey, New York, North Carolina, Ohio,	NCT02383212

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			Oklahoma, Oregon, Pennsylvania, Tennessee, Texas, Barcelona (Spain), Madrid (Spain)	
A Phase 2 Clinical Trial of Nivolumab and Nivolumab Plus Ipilimumab in Recurrent and Metastatic Microsatellite High (MSI-H) Colon Cancer	Phase 1/Phase 2	PD-1, CTLA-4	Arizona, California, Georgia, Massachusetts, Minnesota, North Carolina, Oregon, Pennsylvania, Tennessee, Texas, Alberta (Canada), Brussels (Belgium), Dublin 4 (Ireland), Dublin 9 (Ireland), Galway (Ireland), Leuven (Belgium), Madrid (Spain), Modena (Italy), New South Wales (Australia), Ontario (Canada), Padova (Italy), Paris (France), Queensland (Australia), Sevilla (Spain), TO (Italy), Victoria (Australia)	NCT02060188
A Phase 1, Open-label Study to Evaluate the Safety and Tolerability of MEDI0680 (AMP-514) in Combination With MEDI4736 in Subjects With Advanced Malignancies	Phase 1/Phase 2	PD-1, PD-L1	California, Florida, New Jersey, New York, Oregon, South Carolina, Washington	NCT02118337

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE

RATIONALE FOR POTENTIAL CLINICAL TRIALS

Loss or inactivation of ATM may increase sensitivity to PARP inhibitors or inhibitors of DNA-PKcs.

- **ATM**
N2586fs*20

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "DNA-PK", "PARP", "olaparib", "rucaparib", "BMN 673", "ABT-888", "veliparib", "E7449", "niraparib", "colorectal cancer", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
Phase 1 Trial of ABT-888 and SCH727965 in Patients With Advanced Solid Tumors	Phase 1	CDK1, CDK2, CDK5, CDK9, PARP	Massachusetts	NCT01434316
An Early Phase 1 Study of ABT-888 in Combination With Carboplatin and Paclitaxel in Patients With Hepatic or Renal Dysfunction and Solid Tumors	Phase 1	PARP	California, Maryland, Massachusetts, Michigan, New Jersey, New York, Pennsylvania, Texas, Wisconsin	NCT01366144
A Phase I Multi-centre Trial of the Combination of Olaparib (PARP Inhibitor) and AZD5363 (AKT Inhibitor) in Patients With Advanced Solid Tumours	Phase 1	AKT, PARP	Newcastle upon Tyne (United Kingdom), Surrey (United Kingdom)	NCT02338622
A Multicenter, Open-Label, Dose-Escalating Phase I Trial of the DNA-PK Inhibitor MSC2490484A in Subjects With Advanced Solid Tumors or Chronic Lymphocytic Leukemia	Phase 1	DNA-PK	Darmstadt (Germany)	NCT02316197
A Modular Phase I, Open-Label, Multicentre Study to Assess the Safety, Tolerability, Pharmacokinetics and Preliminary Anti-tumour Activity of AZD6738 in Combination With Cytotoxic Chemotherapy and/or DNA Damage Repair/Novel Anti-cancer Agents in Patients With Advanced Solid Malignancies.	Phase 1	PARP, PD-L1, ATR	California, New York, London (United Kingdom), Manchester (United Kingdom), Seoul (Korea, Republic of), Sutton (United Kingdom), Villejuif (France)	NCT02264678

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE

RATIONALE FOR POTENTIAL CLINICAL TRIALS

- **CTNNB1**
T41I

Based on clinical and preclinical evidence, tumors with activating CTNNB1 alterations may be sensitive to mTOR inhibitors.

However, several clinical studies report that inhibitors of the PI3K-AKT-mTOR pathway have not produced significant clinical benefit as monotherapies for the treatment of colorectal cancer; combinations of therapies may be required to overcome this lack of response.

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "mTOR", "everolimus", "temsirolimus", "GDC-0980", "GSK2126458", "PF-04691502", "PF-05212384", "INK-128", "OSI-027", "CC-223", "DS-3078a", "colorectal carcinoma", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Phase 1 Study of MLN0128 and Bevacizumab in Patients With Recurrent Glioblastoma and Other Solid Tumors	Phase 1	mTORC1, mTORC2, VEGFA	Massachusetts	NCT02142803
A Phase 1, Open-label Study to Evaluate the Safety, Tolerability, and Pharmacokinetics of MLN0128 (an Oral mTORC 1/2 Inhibitor) as a Single Agent and in Combination With Paclitaxel in Adult Patients With Advanced Nonhematologic Malignancies	Phase 1	mTORC1, mTORC2	Florida, Oklahoma, Tennessee	NCT02412722
A Multicenter, Open-label, Phase 1b Study of MLN0128 (an Oral mTORC1/2 Inhibitor) in Combination With MLN1117 (an Oral PI3K α Inhibitor) in Adult Patients With Advanced Nonhematologic Malignancies	Phase 1	PI3K-alpha, mTORC1, mTORC2	Massachusetts, Tennessee, Texas, Barcelona (Spain), Sutton (United Kingdom)	NCT01899053
TAX-TORC: A Phase I Multi-centre Trial of the Combination of AZD2014 (Dual mTORC1 and mTORC2 Inhibitor) and Weekly Paclitaxel in Patients With Solid Tumours.	Phase 1	mTORC1, mTORC2	Cambridgeshire (United Kingdom), London (United Kingdom), Surrey (United Kingdom)	NCT02193633

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE

RATIONALE FOR POTENTIAL CLINICAL TRIALS

- **FBXW7**
R479Q

FBXW7 inactivation may lead to increased mTOR activation, and may therefore predict sensitivity to mTOR inhibitors.

Several clinical studies have shown that inhibitors of the PI3K-AKT-mTOR pathway have not produced significant clinical benefit when used as a monotherapy in patients with colorectal cancer; combination therapies may be required for efficacy.

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "mTOR", "everolimus", "temsirolimus", "colorectal carcinoma", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Multicenter, Open-label, Phase 1b Study of MLN0128 (an Oral mTORC1/2 Inhibitor) in Combination With MLN1117 (an Oral PI3K α Inhibitor) in Adult Patients With Advanced Nonhematologic Malignancies	Phase 1	PI3K-alpha, mTORC1, mTORC2	Massachusetts, Tennessee, Texas, Barcelona (Spain), Sutton (United Kingdom)	NCT01899053
TAX-TORC: A Phase I Multi-centre Trial of the Combination of AZD2014 (Dual mTORC1 and mTORC2 Inhibitor) and Weekly Paclitaxel in Patients With Solid Tumours.	Phase 1	mTORC1, mTORC2	Cambridgeshire (United Kingdom), London (United Kingdom), Surrey (United Kingdom)	NCT02193633
A Phase 1 Study of MLN0128 and Bevacizumab in Patients With Recurrent Glioblastoma and Other Solid Tumors	Phase 1	mTORC1, mTORC2, VEGFA	Massachusetts	NCT02142803
A Multiarm, Open-label, Phase 1b Study of MLN2480 (an Oral A-, B-, and CRAF Inhibitor) in Combination With MLN0128 (an Oral mTORC 1/2 Inhibitor), or Alisertib (an Oral Aurora A Kinase Inhibitor), or Paclitaxel, in Adult Patients With Advanced Nonhematologic Malignancies	Phase 1	mTORC1, mTORC2, RAF, Aurora kinase A	Massachusetts, Pennsylvania, Barcelona (Spain), Oxfordshire (United Kingdom)	NCT02327169

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CLINICAL TRIALS TO CONSIDER (cont.)

GENE

RATIONALE FOR POTENTIAL CLINICAL TRIALS

RNF43

- G659fs*41,
R225fs*194

Based on preclinical evidence, tumors with loss or inactivation of RNF43 may be sensitive to inhibitors of the WNT signaling pathway.

Examples of clinical trials that may be appropriate for this patient are listed below. These trials were identified through a search of the trial website clinicaltrials.gov using keyword terms such as "beta catenin", "WNT", "DKK1", "DKK3", "SFRP1", "calcimycin", "PRI-724", "ETC-1922159", "colorectal carcinoma", "solid tumor", and/or "advanced cancer".

TITLE	PHASE	TARGETS	LOCATIONS	NCT ID
A Phase 1A/B Study to Evaluate the Safety and Tolerability of ETC-1922159 in Advanced Solid Tumours	Phase 1	PORCN	Texas	NCT02521844

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**APPENDIX****VARIANTS OF UNKNOWN SIGNIFICANCE**

Note: One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations make their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

AKT2 R357H	ALK W915fs*24	ATR L594F	AXL P586H	BARD1 P358_S364del	BRCA2 G1963V
CCND2 G268R	CHEK2 L193P	CIC A1554T	CTNNB1 R200H	DNMT3A R749H,V510I	EPHA7 R6W
EPHB1 A318E	ERBB3 R1127C	FANCA I699V,R435C	FANCD2 splice site 990- 1G>A	FAT1 I3448del	FBXW7 F45C
FGFR3 A281T	FLT3 V819fs*11	GLI1 G274fs*6	GPR124 P550S,R362H,R911 Q	IDH1 R20Q	IKZF1 P279S
KDR R57T	KEAP1 D294N	LMO1 R105W	LRP1B V4264I	MLL S729del	MLL3 N2842fs*2
MTOR R1482C	NOTCH2 M1I	PBRM1 R598K	PIK3C2B L1293P	PIK3CB I1021M	PLCG2 P442T
PTPN11 T397M	ROS1 R118*	SDHA A442T	SF3B1 R124W	SLIT2 R726H	SMO P694fs*82
SPTA1 L1977P	SYK G618E	TGFBR2 F186del	TSC2 R680Q		

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APPENDIX

GENES ASSAYED IN FOUNDATIONONE

FoundationOne is designed to include all genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 315 genes as well as introns of 28 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

DNA Gene List: Entire Coding Sequence for the Detection of Base Substitutions, Insertion/Deletions, and Copy Number Alterations

Table listing 315 genes: ABL1, ABL2, ACVR1B, AKT1, AKT2, AKT3, ALK, AMER1 (FAM123B), APC, AR, ARAF, ARFRP1, ARID1A, ARID1B, ARID2, ASXL1, ATM, ATR, ATRX, AURKA, AURKB, AXIN1, AXL, BAP1, BARD1, BCL2, BCL2L1, BCL2L2, BCL6, BCOR, BCORL1, BLM, BRAF, BRCA1, BRCA2, BRD4, BRIP1, BTG1, BTK, C11orf30 (EMSY), CARD11, CBF8, CBL, CCND1, CCND2, CCND3, CCNE1, CD274, CD79A, CD79B, CDC73, CDH1, CDK12, CDK4, CDK6, CDK8, CDKN1A, CDKN1B, CDKN2A, CDKN2B, CDKN2C, CEBPA, CHD2, CHD4, CHEK1, CHEK2, CIC, CREBBP, CRKL, CRLF2, CSF1R, CTCF, CTNNA1, CTNNB1, CUL3, CYLD, DAXX, DDR2, DICER1, DNMT3A, DOT1L, EGFR, EP300, EPHA3, EPHA5, EPHA7, EPHB1, ERBB2, ERBB3, ERBB4, ERG, ERRF1, ESR1, EZH2, FAM46C, FANCA, FANCC, FANCD2, FANCE, FANCF, FANCG, FANCL, FAS, FAT1, FBXW7, FGF10, FGF14, FGF19, FGF23, FGF3, FGF4, FGF6, FGFR1, FGFR2, FGFR3, FGFR4, FH, FLCN, FLT1, FLT3, FLT4, FOXL2, FOXP1, FRS2, FUBP1, GABRA6, GATA1, GATA2, GATA3, GATA4, GATA6, GID4 (C17orf39), GLI1, GNA11, GNA13, GNAQ, GNAS, GPR124, GRIN2A, GRM3, GSK3B, H3F3A, HGF, HNF1A, HRAS, HSD3B1, HSP90AA1, IDH1, IDH2, IGF1R, IGF2, IKBKE, IKZF1, IL7R, INHBA, INPP4B, IRF2, IRF4, IRS2, JAK1, JAK2, JAK3, JUN, KAT6A (MYST3), KDM5A, KDM5C, KDM6A, KDR, KEAP1, KEL, KIT, KLHL6, KMT2A (MLL), KMT2C (MLL3), KMT2D (MLL2), KRAS, LMO1, LRP1B, LYN, LZTR1, MAGI2, MAP2K1, MAP2K2, MAP2K4, MAP3K1, MCL1, MDM2, MDM4, MED12, MEF2B, MEN1, MET, MITF, MLH1, MPL, MRE11A, MSH2, MSH6, MTOR, MUTYH, MYC, MYCL (MYCL1), MYCN, MYD88, NF1, NF2, NFE2L2, NFKBIA, NKX2-1, NOTCH1, NOTCH2, NOTCH3, NPM1, NRAS, NSD1, NTRK1, NTRK2, NTRK3, NUP93, PAK3, PALB2, PARK2, PAX5, PBRM1, PDCD1LG2, PDGFRA, PDGFRB, PDK1, PIK3C2B, PIK3CA, PIK3CB, PIK3CG, PIK3R1, PIK3R2, PLCG2, PMS2, POLD1, POLE, PPP2R1A, PRDM1, PREX2, PRKAR1A, PRKCI, PRKDC, PRSS8, PTCH1, PTEN, PTPN11, QKI, RAC1, RADS50, RAD51, RAF1, RANBP2, RARA, RB1, RBM10, RET, RICTOR, RNF43, ROS1, RPTOR, RUNX1, RUNX1T1, SDHA, SDHB, SDHC, SDHD, SETD2, SF3B1, SLIT2, SMAD2, SMAD3, SMAD4, SMARCA4, SMARCB1, SMO, SNCAIP, SOCS1, SOX10, SOX2, SOX9, SPEN, SPOP, SPTA1, SRC, STAG2, STAT3, STAT4, STK11, SUFU, SYK, TAF1, TBX3, TERC, TERT (promoter only), TET2, TGFB2, TNFAIP3, TNFRSF14, TOP1, TOP2A, TP53, TSC1, TSC2, TSHR, U2AF1, VEGFA, VHL, WISP3, WT1, XPO1, ZBTB2, ZNF217, ZNF703

DNA Gene List: For the Detection Select Rearrangements

Table listing 28 genes: ALK, BCL2, BCR, BRAF, BRCA1, BRCA2, BRD4, EGFR, ETV1, ETV4, ETV5, ETV6, FGFR1, FGFR2, FGFR3, KIT, MSH2, MYB, MYC, NOTCH2, NTRK1, NTRK2, PDGFRA, RAF1, RARA, RET, ROS1, TMPRSS2

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APPENDIX

FOUNDATIONONE PERFORMANCE SPECIFICATIONS

ACCURACY		
Sensitivity: Base Substitutions	At Mutant Allele Frequency $\geq 10\%$	>99.9% (CI* 99.6%-100%)
	At Mutant Allele Frequency 5-10%	99.3% (CI* 98.3%-99.8%)
Sensitivity: Insertions/Deletions (1-40 bp)	At Mutant Allele Frequency $\geq 20\%$	97.9% (CI* 92.5%-99.7%)
	At Mutant Allele Frequency 10-20%	97.3% (CI* 90.5%-99.7%)
Sensitivity: Copy Number Alterations—Amplifications (ploidy <4, Amplification with Copy Number ≥ 8)	At $\geq 30\%$ tumor nuclei	>99.0% (CI* 93.6%-100%)
	At 20% tumor nuclei	92.6% (CI* 66.1%-99.8%)
Sensitivity: Copy Number Alterations—Deletions (ploidy <4, Homozygous Deletions)	At $\geq 30\%$ tumor nuclei	97.2% (CI* 85.5%-99.9%)
	At 20% tumor nuclei	88.9% (CI* 51.8%-99.7%)
Sensitivity: Rearrangements (selected rearrangements in specimens with $\geq 20\%$ tumor nuclei)**		>90.0% ¹ >99.0% for ALK fusion ² (CI* 89.1%-100%)
Specificity of all variant types	Positive Predictive Value (PPV)	>99.0%
REPRODUCIBILITY (average concordance between replicates)		96.4% inter-batch precision 98.9% intra-batch precision

*95% Confidence Interval

** Performance for gene fusions within targeted introns only. Sensitivity for gene fusions occurring outside targeted introns or in highly repetitive intronic sequence contexts is reduced.

¹Based on analysis of coverage and re-arrangement structure in the COSMIC database for the solid tumor fusion genes where alteration prevalence could be established, complemented by detection of exemplar rearrangements in cell line titration experiments.

²Based on ALK re-arrangement concordance analysis vs. a standard clinical FISH assay described in: Yelensky, R. et al. Analytical validation of solid tumor fusion gene detection in a comprehensive NGS-based clinical cancer genomic test, In: Proceedings of the 105th Annual Meeting of the American Association for Cancer Research; 2014 Apr 5-9; San Diego, CA. Philadelphia (PA): AACR; 2014. Abstract nr 4699

Assay specifications were determined for typical median exon coverage of approximately 500X. For additional information regarding the validation of FoundationOne, please refer to the article, Frampton, GM. et al. Development and validation of a clinical cancer genomic profiling test based on massively parallel DNA sequencing, Nat Biotechnol (2013 Oct. 20).

For additional information specific to the performance of this specimen, please contact Foundation Medicine, Inc. at 1-888-988-3639.

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**APPENDIX****ABOUT FOUNDATIONONE™**

FoundationOne™: FoundationOne was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne has not been cleared or approved by the United States Food and Drug Administration (FDA). The FDA has determined that such clearance or approval is not necessary. FoundationOne may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratory is certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA) as qualified to perform high-complexity clinical testing.

Diagnostic Significance: FoundationOne identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Test Report also highlights selected negative test results regarding biomarkers of clinical significance.

Qualified Alteration Calls (Equivocal and Subclonal): An alteration denoted as "amplification – equivocal" implies that the FoundationOne assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne for identifying a copy number amplification is five (5) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne analytical methodology has identified as being present in <10% of the assayed tumor DNA.

The Report incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research.

NOTE: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

Alterations and Drugs Not Presented in Ranked Order: In this Report, neither any biomarker alteration, nor any drug associated with potential clinical benefit (or potential lack of clinical benefit), are ranked in order of potential or predicted efficacy.

Level of Evidence Not Provided: Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

No Guarantee of Clinical Benefit: This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

No Guarantee of Reimbursement: Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne.

Treatment Decisions are Responsibility of Physician: Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment.

Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report.

Certain sample or variant characteristics may result in reduced sensitivity. These include: subclonal alterations in heterogeneous samples, low sample quality or with homozygous losses of <3 exons; and deletions and insertions >40bp, or in repetitive/high homology sequences. FoundationOne is performed using DNA derived from tumor, and as such germline events may not be reported. The following targets typically have low coverage resulting in a reduction in sensitivity: *SDHD* exon 6 and *TP53* exon 1.

FoundationOne complies with all European Union (EU) requirements of the IVD Directive 98/79EC. As such, the FoundationOne Assay has been registered for CE mark by our EU Authorized Representative, Qarad b.v.b.a, Ciplastraat 3, 2440 Geel, Belgium.



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